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09/944 788

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| STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | | Filing Date | August 31, 2001 | |
|---|--------------|-------|------|-------------------------|---------------------------|--|----|
| | | | | | First Named Inventor | Alfonso Valdes | |
| | | | | | Art Unit | 3621 | |
| | | | | | Examiner Name | Sherr, Cristina O. | |
| Sheet | 1 | of 2 | | 2 | Attorney Docket Number | 10454-022001 (SRI/4190-4) | |
| | | | | NON PATENT | LITERATURE DOCUMENTS | | |
| Examiner Initials * | Cite No.1 | | | ook, magazine, journal, | | rticle (when appropriate), title of c.), date, page(s), volume-issue re published. | T² |
| | C40 | DE TO | SRI' | S INTERROGATORY NO | | CTIONS OF ISS-GA AND ISS- LIGHT RECORDER SYSTEM 2 (b) AND/OR 103, pp.1-73, | |
| | C41 | DETO | SRI' | S INTERROGATORY NO | | CTIONS OF ISS-GA AND ISS- D HP OPENVIEW INVALIDATE 103, pp.1-21, November 15, | |
| | C42 | DE TO | SRI' | S INTERROGATORY NO | OS 6 & 11, HP OPENVIEW AN | CTIONS OF ISS-GA AND ISS- ND THE INTERNET I U.S.C. § 102 (b) AND/OR 103, | |
| | | DE TO | SRI | S INTERROGATORY NO | OS 6 & 11," NETWORK LEVE | CTIONS OF ISS-GA AND ISS- L INTRUSION DETECTION MS UNDER 35 U.S.C. § 102 (b), | |

EXHIBIT 18 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, US PATENT NUMBER 5,825,750 (THOMPSON) INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (a) and 102 (e), pp.1-21,

EXHIBIT 19 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11," FAULT DETECTION IN AN ETHERNET NETWORK VIA ANOMALY DETECTORS" INVALIDATES THE INDICATED CLAIMS UNDER 35

EXHIBIT 20 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11. STAKE OUT NETWORK SURVEILLANCE INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp.1-24, November 15,

EXHIBIT 21 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, EMERALD 1997, INTRUSIVE ACTIVITY 1991, AND NIDES 1994 INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) OR 103,pp.

Date

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and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandría, VA 22313-1450.

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Substitute for form 1449B/PTO

C43

C44

C45

C46 2005.

C47

Examiner

pp.1-22, November 15, 2005.

U.S.C. § 102 (b), pp. 1-17, November 15, 2005

November 15, 2005.

1-62. November 15. 2005.

INFORMATION DISCLOSURE

Approved for use through 09/30/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|---|---------------------------------|--|---|---|---------|--|--|--|--|
| Substitute | for form 14 | 149B/PTO | Complete if Known | | | | | | |
| | | | Application Number | 09/944,788 09/944 August 31, 2001 | | | | | |
| | | TION DISCLOSURE | Filing Date | | | | | | |
| STAT | EME | NT BY APPLICANT | First Named Inventor | | | | | | |
| | | | Art Unit | 3621 | | | | | |
| | (Use as r | nany sheets as necessary) | Examiner Name | Sherr, Cristina O. | | | | | |
| Sheet | 2 | of 2 | Attorney Docket Number | 10454-022001 (SRI/4190-4) | | | | | |
| | NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
| Examiner Initials * | Cite No.1 | | l, serial, symposium, catalog, et blisher, city and/or country whe | c.), date, page(s), volume-issue re published. | T ² | | | | |
| | C48 | EXHIBIT 22 TO THE SUPPLEMEN DE TO SRI'S INTERROGATORY N INVALIDATES THE INDICATED CI 2005. | NOS 6 & 11, AUTOMATED INF LAIMS UNDER 35 U.S.C. § 102 | ORMATION SYSTEM - AIS 2 (b), pp. 1-15, November 15, | | | | | |
| | C49 | EXHIBIT 23 TO THE SUPPLEMEN DE TO SRI'S INTERROGATORY N CLAIMS-AT-ISSUE OF SRI'S PATE 2005. | NOS 6 & 11, COMPARISON OF ENTS-IN-SUIT FOR 35 U.S.C. | LISTED PUBLICATIONS TO § 103, pp. 1-127, November 15, | | | | | |
| | C50 | SECOND SUPPLEMENTAL RESPI INTERROGATORY NO. 11,SRI Intr Systems, inc., a Delaware Corporat Symantec Corporation a Delaware (2006. | ernational, Inc., a California Co- tion, Internet Security Systems, Corporation, pp.1-17, Certificati | rporation v. Internet Security Inc., a Georgia Corporation and e of Service dated March 28, | | | | | |
| SYMANTEC CORPORATION'S FIFTH SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORY NO. 11, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems Inc., a Delaware Corporation, pp. 1-15, dated March 28, 2006. | | | | | | | | | |
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